I hereby certify that this correspondence is being deposited with the United

PATENT Attorney Docket No.: A-1-9

Commissioner for Patents, Alexandria, VA 22313-1450

on December 2, 2003

Michelle-Nicety

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Lee Cohen

STATEMENT UNDER

37 CFR §1.97 and §1.98

INFORMATION DISCLOSURE

Art Unit: 3739

In re application of:

PHILIP EGGERS, et al.

Application No.: 10/621,839

Filed: July 16, 2003

For: METHODS FOR ELECTROSURGICAL TISSUE TREATMENT BETWEEN SPACED APART

**ELECTRODES** 

Commissioner for Patents Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. A copy of each is enclosed.

It is respectfully requested that the cited information be considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue there from.

This IDS is being filed before the mailing date of the first Office Action on the merits. Accordingly, Applicants believe that no fee is necessary. Should a fee be deemed necessary for submission of this IDS, the Commissioner is authorized to charge the required fee to Deposit Account No. 50-0359.

Respectfully submitted,

Richard R. Batt

Reg. No. 43,485

Date

ArthroCare Corporation 680 Vaqueros Ave. Sunnyvale, CA 94085-3523 (408) 736-0224

Application No.: Attorney Docket No. FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR A-1-9 10/621,839 APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Applicant: Philip Eggers DEE O 1 MAG Group: 3739 Filing Date: July 16, 2003 U.S. PATENT DOCUMENTS Reference Designation CO PERDEN Class Sub-Filing Date Name Examiner Document No. class Initial FOREIGN PATENT DOCUMENTS Translation Sub-Date Country Class Document No. (yes/no) class A61B 18/04 11/15/00 Europe EP 0 694 290 AA 5/04 A61B WIPO AB 92/21278 12/10/92 37/00 04/28/94 WIPO A61M AC 94/08654 WIPO A61B 17/39 01/09/97 97/00647 AD 17/39 A61B 97/00646 01/09/97 WIPO ΑE 27/02 G01R EP 0 703 461 03/27/96 Europe AF A61B 17/39 EP 0 754 437 01/22/97 Europe AG A61B 17/39 WIPO 97/48345 12/24/97 AΗ A61B 17/39 WIPO 07/02/98 ΑI 98/27880 A61B 17/39 01/27/99 UK 2 327 350 ΑJ 17/39 A61B 01/27/99 UK 2 327 351 AK 17/39 A61B 01/27/99 UK 2 327 352 ALA61B 17/39 3930451 03/21/91 Germany AM A61B 1/00 57-57802 04/05/82 JP AN OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Pearce, John A. (1986) Electrosurgery, pgs. 17, 69-75, 87, John Wiley & Sons, \_\_\_ AO New York. J.W. Ramsey et al. Urological Research Vol. 13, pp. 99-102 (1985). ΑP V.E. Elsasser et al. Acta Medicotechnica Vol. 24, No. 4, pp. 129-134 (1976). AQ P.C. Nardella (1989) SPIE 1068:42-49 Radio Frequency Energy and Impedance Feedback AR R. Tucker et al., Abstract P14-11, p. 248, "A Bipolar Electrosurgical Turp AS Loop" R. Tucker et al. J. of Urology Vol. 141, pp. 662-665, (1989). AT R. Tucker et al. Urological Research Vol. 18, pp. 291-294 (1990). ΑU Kramolowsky et al. J. of Urology Vol. 143, pp. 275-277 (1990). ΑV DATE CONSIDERED EXAMINER

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DEC O 4 2003 Attorney Docket No. Application No.: FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATION BADE 10/621,839 A - 1 - 9APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Applicant: Philip Eggers Group: 3739 Filing Date: July 16, 2003 U.S. PATENT DOCUMENTS Reference Designation Sub-Filing Date Name Class Document No. Date Examiner class Initial FOREIGN PATENT DOCUMENTS Translation Sub-Class Country Date Document No. (yes/no) class 17/39 A61B 90/03152 04/05/90 WIPO BT 3/02 A61N France 2313949 01/07/77 BU A61B 5/00 10/28/93 WIPO RV 93/20747 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Codman & Shurtleff, Inc. "The Malis Bipolar Electrosurgical System CMC-III Instruction Manual" 7/1991 BW Valley Forge's New Products, CLINICA, 475, 5, 11/6/91 BX Valley Forge Scientific Corp., "Summary of Safety and Effective Information \_\_\_\_BY from 510K," 1991 Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting \_\_\_ BZ System CMC-II" brochure, early 1991 L. Malis, "The Value of Irrigation During Bipolar Coagulation" See ARTC 21602, \_\_\_ CA early 4/9/93 L. Malis, "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 \_\_\_ CB American Association of Neurological Surgeons Meeting," 1995 L. Malis, "Electrosurgery, Technical Note," J. Neursurg., Vol. 85, 970-975, \_\_\_ CC 11/96 Ian E. Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical
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DA	Valleylab, Inc. "Valleylab Part Number 945 100 102 A" Surgistat Service							
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